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# BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Application Number: 10/787,045 Filing Date: February 25, 2004 Appellant(s): HATLESTAD et al.

> Michael P. Horvath For Appellant

EXAMINER'S ANSWER

This is in response to the appeal brief filed 08/25/2010 appealing from the Office action mailed 04/07/2010.

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## (1) Real Party in Interest

The examiner has no comment on the statement, or lack of statement, identifying by name the real party in interest in the brief.

## (2) Related Appeals and Interferences

The examiner is not aware of any related appeals, interferences, or judicial proceedings which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

### (3) Status of Claims

The following is a list of claims that are pending, rejected, and appealed in the application:

1-11, 13, 14, and 16-28.

## (4) Status of Amendments After Final

The examiner has no comment on the appellant's statement of the status of amendments after final rejection contained in the brief.

#### (5) Summary of Claimed Subject Matter

The examiner has no comment on the summary of claimed subject matter contained in the brief.

#### (6) Grounds of Rejection to be Reviewed on Appeal

The examiner has no comment on the appellant's statement of the grounds of rejection to be reviewed on appeal. Every ground of rejection set forth in the Office action from which the appeal is taken (as modified by any advisory actions) is being maintained by the examiner except

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for the grounds of rejection (if any) listed under the subheading "WITHDRAWN

REJECTIONS." New grounds of rejection (if any) are provided under the subheading "NEW

GROUNDS OF REJECTION."

## (7) Claims Appendix

The examiner has no comment on the copy of the appealed claims contained in the Appendix to the appellant's brief.

## (8) Evidence Relied Upon

6,824,512	Warkentin, et al.	11-2004	
2004/0147969	Mann, et al.	07-2004	

#### (9) Grounds of Rejection

The following ground(s) of rejection are applicable to the appealed claims:

#### Claim Rejections - 35 USC § 102

 The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- Claim1-6, 8-10, 13-14, 16-22 and 24-27 are rejected under 35 U.S.C. 102(e) as being anticipated by Warkentin et al. (U.S. Patent No. 6,824,512).
- As per claim1, Warkentin teaches a medication storage, therapy, and consumption management system, comprising:

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-an implantable device configured to implantably electrically monitor fluid retention (Warkentin: col. 10, 66, to col. 11, 12);

- -an external, non-ambulatory pill-dispensing containment unit configured to accessibly house diuretic medication, the containment unit including a diuretic medication pill receptacle configured to house the diuretic medication and configured to be selectively accessed by a person to dispense the diuretic medication (Warkentin: figures 4A-4C);
- a health management host system coupled to the containment unit in a manner that allows data transmission (Warkentin: col. 12, 21-38);
- -said containment unit including communications and control system that records and transmits data relating to a medication event, the medication event data including information related to the dispensing, said containment unit control system further providing for transmitting and receiving medication therapy data (Warkentin: col. 10, 44-65);
- -said health management host system configured to receive data related to the medication event, receive physiologic data, analyze the patient physiologic data and the medication event data, and generate a diuretic medication therapy regimen using the analysis of the patient physiological data and the medication event data (Warkentin: col. 10, 66, to col. 11, 12).
- As per claim 2, the system of claim 1 is as described. Warkentin further teaches wherein
  the patient physiological data comprises weight, fluid retention data, data monitored by an
  implantable device and neuro-hormonal data (Warkentin: col. 10, 66, to col. 11, 12).

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As per claim 3, the system of claim 1 is as described. Warkentin further teaches wherein
the containment unit is further configured to communicate wirelessly with said health
management host system (Warkentin: col. 6, 63 to col. 7, 6).

- 4. As per claim 4, the system of claim 1 is as described. Warkentin further teaches wherein the containment unit is configured with a display device to illustrate a medication therapy strategy (Warkentin; col. 9, 19-63).
- 5. As per claim 5, the system of claim 4 is as described. Warkentin further teaches wherein the containment unit is configured to receive data from an external source and further configured to transmit such data to the health management host system (Warkentin: col. 12, 21-38).
- 6. As per claim 6, the system of claim 1 is as described. Warkentin further teaches wherein the containment unit is further configured to notify the patient when it is time to take the medication housed therein (Warkentin: 10, 44-65).
- 7. As per claim 8, the system of claim 1 is as described. Warkentin further teaches wherein said health management host system processes said data related to the medication event data and said patient physiological data, and in response thereto provides for the generation of an updated medication therapy regimen (Warkentin: col. 10, 66, to col. 11, 12).
- 8. As per claim 9, Warkentin teaches an electronic patient health management system, comprising:
- -an implantable medical measurement device for implantably electrically measuring data related to at least one patient physiological health factor including fluid retention data (Warkentin: col. 10, 66, to col. 11, 12);

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-an external, non-ambulatory, pill dispensing a medication therapy management device configured to accessibly house diuretic medication, the medication therapy management device including a diuretic medication pill receptacle configured to house the diuretic medication and configured to be selectively accessed by a person to dispense the diuretic medication, the medication therapy management device being configure to store medication event data related to at least one of dispensing or patient consumption of medication, the medication therapy management device further configured for interrogating the medical measurement device and processing the data retrieved from the medical measurement device and the medication event data (Warkentin: figures 4A-4C; col. 10, 44-65); and

- -a patient wellness host system, communicatively coupled to the medication therapy management diagnostic device, configured to receive the processed data and use the processed data to generate a diuretic medication therapy regimen (Warkentin: col. 12, 21-38).
- 9. As per claim 10, the system of claim 9 is as described. Warkentin further teaches wherein the medication therapy management diagnostic device is further configured to provide a reminder to a patient when it is time to take the medication (Warkentin: 10, 44-65).
- 10. As per claim 13, the system of claim 9 is as described. Warkentin further teaches wherein the medical measurement electronic diagnostic device is communicatively coupled to the patient wellness host system via an Internet connection (Warkentin: col. 12, 21-38).
- As per claim 14, the system of claim 9 is as described. Warkentin further teaches wherein the medical measurement electronic diagnostic device is communicatively coupled to

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the patient wellness host system via a wireless communication link (Warkentin: col. 6, 63 to col. 7, 6).

- 12. As per claim 16, the system of claim 9 is as described. Warkentin further teaches wherein data related to the at least one patient physiological health factor comprises data monitored by an implantable device (Warkentin: col. 10, 66, to col. 11, 12).
- 13. As per claim 17, the system of claim 9 is as described. Warkentin further teaches wherein data related to the at least one patient physiological health factor comprises weight data (Warkentin: col. 10, 66, to col. 11, 12).
- 14. As per claim 18, the system of claim 9 is as described. Warkentin further teaches wherein data related to the at least one patient physiological health factor comprises neurohormonal data (Warkentin: col. 10, 66, to col. 11, 12).
- 15. As per claim 19, the system of claim 9 is as described. Warkentin further teaches wherein data related to the at least one patient physiological health factor comprises renal function data (Warkentin: col. 10, 66, to col. 11, 12).
- 16. As per claim 20, the system of claim 9 is as described. Warkentin further teaches wherein the patient wellness host system is configured to process said data received in order to develop a therapeutic response (Warkentin: col. 10, 66, to col. 11, 12).
- 17. As per claim 21, the system of claim 20 is as described. Warkentin further teaches wherein the developed therapeutic response comprises revising medication regime, maintaining current medication regime, and recommending a diet plan (Warkentin: col. 10, 66, to col. 11, 12).

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18. As per claim 22, the system of claim 9 is as described. Warkentin further teaches wherein the patient wellness host system is a computer, which comprises with a memory, a processor and a user interface (Warkentin: col. 9, 2-18).

Claims 24-27 recite substantially similar limitations as those already addressed in claims
 1-8, and, as such, are rejected for similar reasons as given above.

## Claim Rejections - 35 USC § 103

- 20. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 21. Claims 7, 11, 23, and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Warkentin et al. (U.S. Patent No. 6,824,512) in view of Mann et al. (U.S. Publication No. 2004/0147969).
- 22. As per claim 7, the system of claim 1 is as described. Warkentin does not explicitly teach wherein the containment unit is further configured to communicate a request for a medication refill with a pharmacy system when the quantity of the medication is below a pre-determined level.

Mann, however, further teaches wherein the containment unit is further configured to communicate a request for a medication re-fill with a pharmacy system when the quantity of the medication is below a pre-determined level (Mann: para, 373).

One of ordinary skill in the art would have recognized that applying the known technique of Mann would have yielded predictable results and resulted in an improved system. It would

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have been recognized that applying the technique of Warkentin to the teachings of Mann would have yielded predictable results because the level of ordinary skill in the art demonstrated by the references applied shows the ability to incorporate such data processing features into similar systems.

23. As per claim 11, the system of claim 9 is as described. Warkentin does not explicitly teach comprising an external medical measurement device for measuring data related to at least one patient physiological health factor (Mann: para. 19; 23).

Mann, however further teaches comprising an external medical measurement device for measuring data related to at least one patient physiological health factor (Mann: para. 19; 23).

The motivation to combine the teachings is the same as claim 7.

24. As per claim 23, the system of claim 9 is as described. Warkentin does not explicitly teach wherein the medication diagnostic device communicates with the patient wellness host system to alert the wellness manager that the medication level is below a pre-determined level.

Mann, however, teaches wherein the medication diagnostic device communicates with the patient wellness host system to alert the wellness manager that the medication level is below a pre-determined level (Mann: para, 349).

The motivation to combine the teachings is the same as claim 7.

25. Claim 28 recite substantially similar limitations as those already addressed in claim 11, and, as such, are rejected for similar reasons as given above.

### (10) Response to Argument

1. Warkentin establishes each and every element recited or incorporated into the claims.

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4	Clata I.	n	n
Appellant's argument Warkentin fails to establish	Claim language "an implantable	Prior art citation "implantable medical	Examiner's interpretation
an implantable device to	device configured to	devices chronically	Examiner interprets monitoring of fluid retention to be one of
			0
electrically monitor fluid	implantably	monitor physiologic	the many physiologic
retention. Appellant states	electrically monitor fluid retention"	parameters of the	parameters of the patient;
that Warkentin merely	fluid retention"	patient"	therefore since Warkentin's
refers to a system for use in a neural stimulation or			implantable device monitors
			physiologic parameters, it is
cardiac rhythm and therapy contextnot in a fluid			therefore monitoring fluid retention. As one skilled in the
monitoring treatment			art, fluid retention is a term of
context.			
context.			the art that is measured by
			measuring fluid retention in the body, which Warkentin teaches.
Warkentin fails to teach a	6-211 - 12	"The structure includes	Examiner states that Warkentin
pill dispensing containment	"pill-dispensing containment unit	pill containers that	teaches a pill container that
unit configured to	configured to		houses medication.
accessibly house diuretic		protrude upwards from the surface for pill or	nouses medication.
medication.	accessibly house		
Warkentin fails to teach	diuretic medication"  "receive data related	drug containment." "IMDs may be	Examiner states that Warkentin
generating a diuretic	to the medication	programmed to	teaches gathering information
medication therapy	event, receive patient	monitor the efficacy	regarding administration of the
regimen using the analysis	physiological data	of the drug monitoring	pill and monitoring the
of the patient's	including fluid	the physiological	physiologic parameters to
physiological data and the	retention data	effects of the drug on	generate an analysis of the two
medication event.	collected by the	the patient"	events related to generate a
Appellant argues that there	implantable device.	the patient	trend curve; therefore providing
is no description in	analyze the patient	"Use of various	a report on the effects of the
Warkentin to use its system	physiological data	communications	medication in relation to the
for generating a diuretic	and the medication	media between the	physiological parameters.
medication therapy	event data, and	remote web-based	physiological parameters.
regimen using the analysis.	generate a diuretic	expert data center and	
regimen using the analysis.	medication therapy	the programmer to	
	regimen using the	remotely exchange	
	analysis"	clinically significant	
	unury515	information and	
		ultimately effect real-	
		time drug intake and	
		prescriptive changes"	
		(col. 4, 42-47)	
		(601. 4, 42-47)	

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## (11) Related Proceeding(s) Appendix

No decision rendered by a court or the Board is identified by the examiner in the Related Appeals and Interferences section of this examiner's answer.

For the above reasons, it is believed that the rejections should be sustained.

Respectfully submitted,

/Sheetal R. Rangrej/ Examiner, Art Unit 3686

> /Gerald J. O'Connor/ Supervisory Patent Examiner Group Art Unit 3686

Conferees:

Gerald J. O'Connor /GJOC/ Supervisory Patent Examiner Group Art Unit 3686

Vincent Millin/vm/

Appeals Conference Specialist

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